AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Lee, John Z.	U.S. District Court, N.D.Ill.	05/13/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge (active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
219 South Dearborn Street Room 2152 Chicago, Illinois 60604		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Self-employed physician
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Judicial Conference, Committee on Court Administration and Case Management	11/30/21 - 12/02/21	New Orleans, LA	Attend meeting	Transportation, lodging, and food
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Lee, John Z.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
1. LPL Financial IRA #1 (H)									
2. - Am. Beacon High Yield Fund	B	Dividend	K	T					
3. - Am. Beacon Large Cap Value Fund	B	Dividend	M	T	Buy	05/17/21	M		
4. - Artisan Mid Cap Fund	D	Dividend	L	T	Buy (add'l)	01/22/21	K		
5.					Sold (part)	02/23/21	K	C	
6. - Artisan International Value Fund	D	Dividend	L	T	Buy (add'l)	06/16/21	K		
7. - Atlantic Capital Bank Money Market Fund		None	J	T					
8. - Calamos Mkt Neutral Income Fund	A	Dividend	L	T	Buy (add'l)	02/23/21	K		
9.					Buy (add'l)	10/19/21	K		
10. - Citibank NA Money Market Fund		None	J	T					
11. - Clearbridge Large Cap Growth Fund	E	Dividend	M	T					
12. - Clearbridge International Growth Fund	A	Dividend	K	T					
13. - Columbia Dividend Income Mutual Fund	A	Dividend			Sold	05/18/21	M	E	
14. - DWA Enhanced Commodity Strategy Fund	E	Dividend			Buy	04/29/21	K		
15.					Sold	12/21/21	K	A	
16. - Goldman Sachs Bank USA Money Market Fund	A	Dividend	J	T					
17. - iShares Core S&P 500 ETF		None			Buy (add'l)	01/22/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.					Sold	02/23/21	M	E	
19. - JP Morgan Govt Bond Mutual Fund		None			Sold	01/22/21	L	A	
20. - Janus Henderson Venture Fund	C	Dividend	K	T					
21. - Invesco Oppenheimer Dev. Mkts Fund	B	Dividend	K	T	Buy (add'l)	02/23/21	K		
22.					Sold (part)	12/23/21	K	D	
23. - Invesco Oppenheimner Main Street Small Cap Fund	C	Dividend	K	T	Buy	01/22/21	K		
24.					Buy (add'l)	02/23/21	K		
25. - Prudential PGIM Total Return Bond Fund	B	Dividend	K	T	Sold (part)	04/19/21	J	A	
26.					Sold (part)	10/19/21	K	A	
27.					Sold (part)	11/26/21	K	A	
28. - Prudential PGIM Core Bond Mutual Fund	A	Dividend			Sold (part)	02/23/21	L	A	
29.					Sold (part)	03/26/21	K	A	
30.					Sold	06/16/21	K	A	
31. - SPDR Gold Shares ETF		None			Sold	04/29/21	K	A	
32. - USB Bank USA Money Market Fund		None	J	T					
33. - Vanguard Total Stock Market Index ETF	C	Dividend	N	T	Buy	02/23/21	M		
34.					Buy (add'l)	08/17/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Buy (add'l)	11/26/21	K		
36.					Buy (add'l)	12/21/21	K		
37. - WisdomTree US Mid Cap Dividend ETF	A	Dividend	K	T	Buy	03/26/21	K		
38. LPL Financial IRA #2 (H)									
39. - Vanguard Emerging Mkrs Select Stock Mutual Fund	A	Dividend	J	T	Buy (add'l)	01/22/21	J		
40.					Buy (add'l)	02/23/21	J		
41.					Sold (part)	06/16/21	J	A	
42. - Vanguard Intermed. Term Invest. Grade Bond Fund	A	Dividend	J	T	Sold (part)	01/22/21	J	A	
43.					Sold (part)	02/23/21	J	A	
44.					Sold (part)	07/16/21	J	A	
45.					Sold (part)	09/17/21	J	A	
46.					Sold (part)	11/23/21	J	A	
47. - Vanguard High Yield Corporate Bond Mut. Fund	A	Dividend	J	T	Buy (add'l)	07/16/21	J		
48.					Sold (part)	11/26/21	J	A	
49. - Vanguard International Value Mut. Fund	A	Dividend	J	T	Sold (part)	02/08/21	J	A	
50.					Sold (part)	05/06/21	J	A	
51.					Buy (add'l)	06/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52.					Sold (part)	08/06/21	J	A	
53. - Vanguard STAR Mut. Fund	A	Dividend	J	T					
54. - Vanguard Total Stock Market Mut. Fund	A	Dividend	K	T	Buy (add'l)	01/22/21	J		
55.					Buy (add'l)	02/23/21	J		
56.					Buy (add'l)	09/17/21	J		
57.					Buy (add'l)	11/26/21	J		
58. - UBS Bank USA Money Market Fund		None	J	T					
59. Trust # 1 (H)									
60. - T. Rowe Price Ret. 2035 Mut. Fund	E	Dividend	O	T	Buy (add'l)	04/29/21	M		
61.					Buy (add'l)	10/18/21	J		
62.					Buy (add'l)	12/15/21	J		
63. - T. Rowe Price Ret. 2040 Mut. Fund	E	Dividend	N	T	Buy	06/01/21	M		
64.					Buy (add'l)	07/01/21	M		
65. - T. Rowe Price Stable Value Fund	B	Dividend			Buy (add'l)	03/30/21	K		
66.					Sold (part)	04/29/21	M	A	
67.					Sold (part)	06/01/21	M	A	
68.					Sold	07/01/21	M	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
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69. LPL Financial IRA #3 (H)									
70. - Am. Beacon Large Cap Value Fund	D	Dividend	L	T	Buy	05/17/21	L		
71. - Artisan International Value Mutual Fund	D	Dividend	K	T	Buy (add'l)	06/16/21	K		
72.					Sold (part)	12/21/21	J	A	
73. - Calamos Mkt Neutral Income Fund	A	Dividend	L	T	Buy (add'l)	02/23/21	K		
74.					Sold (part)	06/16/21	J	A	
75.					Buy (add'l)	10/19/21	J		
76.					Buy (add'l)	12/21/21	J		
77. - Citibank NA Money Market Fund		None	J	T					
78. - Clearbridge International Growth Fund	B	Dividend	K	T	Sold (part)	12/21/21	J	B	
79. - Clearbridge Large Cap Growth	D	Dividend	L	T					
80. - Columbia Dividend Income Mutual Fund	A	Dividend			Sold	05/18/21	L	D	
81. - DWS Enhanced Commodity Strategy Fund	A	Dividend	J	T	Buy	04/29/21	K		
82.					Sold (part)	06/16/21	J	A	
83.					Sold (part)	12/21/21	J	A	
84. - iShares Core S&P 500 ETF		None			Buy (add'l)	01/22/21	J		
85.					Sold	02/23/21	M	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. - Invesco Oppenheimer Developing Markets Fund	B	Dividend	K	T	Buy (add'l)	02/23/21	J		
87.					Sold (part)	12/21/21	J	B	
88. - Invesco Main Street Small Cap Fund	C	Dividend	K	T	Buy	01/22/21	J		
89.					Buy (add'l)	02/23/21	J		
90. - Janus Henderson Enterprise Fund	C	Dividend	K	T	Buy (add'l)	01/22/21	J		
91.					Sold (part)	02/23/21	J	D	
92. - JP Morgan Govt Bond Mutual Fund		None			Sold	01/22/21	K	A	
93. - Morgan Stanley Private Bank Money Market Fund		None	J	T					
94. - Prudential PGIM Core Bond Mutual Fund	A	Dividend			Sold (part)	01/22/21	J	A	
95.					Sold (part)	02/23/21	K	A	
96.					Sold	03/26/21	K	A	
97. - Prudential PGIM Total Return Bond Fund	B	Dividend	K	T	Sold (part)	04/19/21	J	A	
98.					Sold (part)	06/16/21	J	A	
99.					Sold (part)	10/19/21	J	A	
100. - Prudential PGIM Short Duration High Yield Fund	A	Dividend	K	T					
101. - SPDR Gold Trust Gold ETF		None			Sold	04/29/21	K	A	
102. - UBS Bank USA MOney Market Fund		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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103. - Vanguard Total Stock Market ETF	B	Dividend	M	T	Buy	02/23/21	M		
104. - WisdomTree US Mid Cap Dividend ETF	A	Dividend	K	T	Buy	03/26/21	K		
105. Investment Account #1 (H)									
106. - American Century Equity Income Fund	A	Dividend			Buy	05/05/21	J		
107.					Buy (add'l)	06/16/21	J		
108.					Sold	10/19/21	K	A	
109. - Artisan International Value Mutual Fund	A	Dividend	K	T	Buy (add'l)	03/19/21	J		
110.					Buy (add'l)	04/19/21	J		
111.					Buy (add'l)	05/05/21	J		
112.					Buy (add'l)	06/16/21	J		
113. - Calamos Market Neutral Income Mutual Fund	A	Dividend	L	T	Buy (add'l)	03/19/21	J		
114.					Buy (add'l)	05/05/21	J		
115.					Buy (add'l)	06/16/21	J		
116.					Sold (part)	11/30/21	J	A	
117. - Citibank NA Money Market Fund		None	J	T					
118. - Clearbridge International Growth Fund	A	Dividend	J	T					
119. - DWS Enhanced Commodity Strategy Fund	C	Dividend			Buy	05/05/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120.					Sold	12/07/21	J	A	
121. - DWS Intermediate Tax-Free Mut. Fund	A	Dividend	J	T	Sold (part)	11/30/21	J	A	
122. - iShares Russell 2500 ETF	A	Dividend	L	T	Buy	02/23/21	K		
123.					Buy (add'l)	03/19/21	K		
124.					Buy (add'l)	04/19/21	K		
125. - iShares Russell 1000 Value ETF	A	Dividend	K	T	Buy	04/19/21	J		
126.					Buy (add'l)	10/19/21	K		
127. - Goldman Sachs Bank USA Money Market Fund	A	Interest	J	T					
128. - JP Morgan Government Bond Mutual Fund	A	Dividend			Sold (part)	01/22/21	K	A	
129.					Sold	02/23/21	K	A	
130. - Loomis Sayles Growth Mut. Fund	B	Dividend	K	T					
131. - Invesco Oppenheimer Dev. Markets Mut. Fund	A	Dividend	K	T	Buy (add'l)	03/19/21	J		
132.					Buy (add'l)	04/19/21	J		
133. - Prudential PGIM Core Bond Mutual Fund	A	Dividend			Sold	03/19/21	K	A	
134. - Prudential PGIM Floating Rate Income Fund	A	Dividend	K	T	Buy	03/19/21	K		
135.					Sold (part)	04/19/21	K	A	
136.					Buy (add'l)	05/05/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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137.					Buy (add'l)	06/16/21	J		
138. - UBS Bank USA Money Market		None	J	T					
139. - Vanguard FTSE Dev. Mkts Fund	A	Dividend	J	T					
140. - Vanguard Total Stock Market ETF	B	Dividend	M	T	Buy (add'l)	01/22/21	K		
141.					Buy (add'l)	03/19/21	K		
142.					Buy (add'l)	04/19/21	K		
143.					Buy (add'l)	05/05/21	J		
144.					Buy (add'l)	06/16/21	J		
145.					Buy (add'l)	11/26/21	K		
146.					Buy (add'l)	11/30/21	K		
147.					Buy (add'l)	12/13/21	J		
148. - Western Asset Managed Municipal Fund	A	Dividend	J	T	Buy	02/23/21	K		
149.					Sold (part)	04/19/21	J	A	
150.					Buy (add'l)	05/05/21	J		
151.					Buy (add'l)	06/16/21	J		
152.					Sold (part)	11/26/21	K	A	
153. - WisdomTree US Large Cap Dividend ETF	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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154. Investment Account #2 (H)									
155. - Artisan International Value Fund	A	Dividend	J	T	Buy	04/16/21	J		
156.					Buy (add'l)	05/17/21	J		
157.					Buy (add'l)	06/16/21	J		
158.					Buy (add'l)	06/18/21	J		
159.					Buy (add'l)	07/16/21	J		
160. - Calamos Market Neutral Income Fund	A	Dividend	K	T	Buy	04/16/21	K		
161.					Buy (add'l)	06/18/21	J		
162.					Sold (part)	12/07/21	J	A	
163. - Calamos Hedged Equity Fund	A	Dividend	K	T	Buy	04/16/21	K		
164. - Citibank NA Money Market Fund		None	J	T					
165. - DWS Enhanced Commodity Strategy Fund	D	Dividend			Buy	04/16/21	K		
166.					Sold	12/07/21	K	A	
167. - Goldman Sachs Bank USA Money Market Fund	A	Dividend	K	T					
168. - Invesco Oppenheimer Developing Markets Fund		None			Buy	04/16/21	J		
169.					Buy (add'l)	05/17/21	J		
170.					Buy (add'l)	06/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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171.					Buy (add'l)	07/16/21	J		
172.					Sold	12/07/21	J	A	
173. - iShares Russell 2500 ETF	A	Dividend	J	T	Buy	04/16/21	J		
174.					Buy (add'l)	05/17/21	J		
175. - iShares Russell 1000 Value ETF	A	Dividend	J	T	Buy	04/16/21	J		
176.					Buy (add'l)	05/17/21	J		
177.					Buy (add'l)	06/16/21	J		
178.					Buy (add'l)	07/16/21	J		
179. - Prudential PGIM Floating Rate Income Fund	A	Dividend	K	T	Buy	04/16/21	J		
180. - T. Rowe Price Total Stock Equity Market Index Fund	B	Dividend	M	T	Buy	04/16/21	K		
181.					Buy (add'l)	05/17/21	K		
182.					Buy (add'l)	06/16/21	K		
183.					Buy (add'l)	06/18/21	J		
184.					Buy (add'l)	07/16/21	K		
185.					Buy (add'l)	11/26/21	K		
186.					Buy (add'l)	12/13/21	K		
187. - UBS Bank USA Money Market Fund		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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188. - Vanguard FTSE Emerging Markets ETF	A	Dividend	J	T	Buy	12/07/21	J		
189. - Victory Tax Exempt Fund	A	Dividend	K	T	Buy	04/16/21	K		
190.					Buy (add'l)	06/18/21	J		
191.					Sold (part)	12/02/21	J	A	
192.					Sold (part)	12/07/21	J	A	
193. Bright Directions 529 Savings #1 (H)									
194. - Bright Directions 529 College Savings Invesco Govt & Ag Portfolio	A	Dividend	L	T	Sold (part)	01/14/21	L	A	
195.					Sold (part)	07/20/21	J	A	
196.					Sold (part)	11/29/21	K	A	
197. - Bright Diections 529 College Savings Northern Funds Index Portfolio		None	M	T	Buy (add'l)	01/14/21	L		
198.					Buy (add'l)	01/19/21	J		
199.					Buy (add'l)	02/17/21	J		
200.					Buy (add'l)	03/17/21	J		
201.					Buy (add'l)	04/19/21	J		
202.					Buy (add'l)	05/17/21	J		
203.					Buy (add'l)	06/08/21	J		
204.					Buy (add'l)	07/02/21	J		

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205.					Sold (part)	07/20/21	K	D	
206.					Buy (add'l)	08/02/21	J		
207.					Buy (add'l)	09/07/21	J		
208.					Buy (add'l)	10/06/21	J		
209.					Buy (add'l)	11/08/21	J		
210.					Sold (part)	11/29/21	J	D	
211.					Buy (add'l)	12/06/21	J		
212. - Bright Directions 529 College Savings T. Rowe Price Extended Equity		None	J	T	Buy	01/14/21	K		
213.					Sold (part)	07/20/21	J	A	
214. Bright Directions 529 Savings #2 (H)									
215. - Bright Directions College Savings Baird Short-Term Bond Fund		None	L	T	Buy (add'l)	01/14/21	J		
216.					Buy (add'l)	07/20/21	J		
217. - Bright Directions 529 College Savings Fidelity US Bond Index		None			Sold	01/14/21	K	A	
218. - Bright Directions 529 College Savings Invesco Govt & Ag. Portfolio	A	Dividend			Sold (part)	01/14/21	K	A	
219.					Sold	07/20/21	K	A	
220. - Bright Directions 529 College Savings Northern Funds Stock Index		None	L	T	Buy	01/14/21	L		
221.					Buy (add'l)	07/20/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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222. - Bright Directions 529 College Savings T Rowe Price Extended Equity		None	K	T	Buy	01/14/21	J		
223.					Buy (add'l)	07/20/21	K		
224. Apple (AAPL)	A	Dividend	K	T					
225. Amazon (AMZN)		None	K	T					
226. Backblaze Inc.		None	J	T	Buy	11/11/21	J		
227. Doximity Inc.		None	J	T	Buy	01/18/21	J		
228. Johnson & Johnson (JNJ)	A	Dividend	J	T					
229. Revolution Lighting (RVLT)		None	J	T					
230. Schwab One Interest Account	A	Interest	J	T					
231. JP Morgan Chase Bank Accounts	A	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John Z. Lee

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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